

## Phylogenetic position, systematic status, and divergence time of the Procarididea (Crustacea: Decapoda)

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Ever since discovery of the anchialine shrimp, *Procaris ascensionis* Chace & Manning 1972, there has been debate as to its systematic position in relationship to other shrimp-like decapods. Several morphological characters have suggested a close affinity among Procarididae, Dendrobranchiata and Stenopodidea, whereas other physical features unite Procarididae with Caridea. Few molecular studies have examined the phylogenetic position of procaridid shrimp due to limited available material for genetic analyses. Those studies show procaridids as sister to carideans but lack sufficient taxon and locus sampling to validate the relationship. Here, we present a molecular phylogeny of selected individuals across decapod infraorders and superfamilies to clarify the phylogenetic position of procaridid shrimp. One mitochondrial (16S) and three nuclear genes (18S, 28S, H3) have been chosen to elucidate relationships. We used Bayesian molecular dating methods implemented in multidivtime to estimate and compare the divergence times among procaridids and other lineages. Findings secure the placement of the procaridids as a sister clade to carideans. Results provide evidence for the recognition of procaridids as a separate infraorder (Procarididea Felgenhauer & Abele 1983) within the Decapoda on the basis of molecular and morphological data.

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### Introduction

The shrimp family Procarididae was established in 1972 to accommodate the newly discovered *Procaris ascensionis* from anchialine pools of Ascension Island (Chace & Manning 1972). Since then, four additional species of this enigmatic genus have been described, two from the Atlantic region, one from the Central Pacific, and one from the Indian Ocean (Holthuis 1973; Hart & Manning 1986; von Sternberg & Schotte 2004; Bruce & Davie 2006), all from similar anchialine environments. A conserved morphology is demonstrated among all members of *Procaris*, attributed by Hart & Manning (1986) to habitat stability and consequent lack of selective pressure. This was disputed by von Sternberg & Schotte (2004) as several other co-occurring

taxa, some within the Caridea, exhibit considerable variation and conspicuous species-level synapomorphies. A related genus, *Vetericaris*, was described by Kensley & Williams (1986) from an anchialine habitat in Hawaii and included in the Procarididae, although differences between its morphology and that of *Procaris* could justify a different familial assignment.

The systematic placement of the Procarididae in relation to other decapod taxa has been debated since their discovery. Chace & Manning (1972) highlighted several features in common with Dendrobranchiata and Stenopodidea, notably the seven-articled third maxilliped, the sub-terminally attached pleurobranchs, and the large mastigobranchs extending into the branchial chamber.

Opposing this is a suite of characters linking this group to the Caridea, such as the overlapping second pleuron, the form of the telson and uropods, and the phyllobranchiate gills (see Bauer 2004; Fransén & De Grave 2009). Notwithstanding these somewhat transitional character states, Chace & Manning (1972) placed the Procarididae in a separate superfamily (Procaridoidea) within the infraorder Caridea. An alternative viewpoint was offered by Felgenhauer & Abele (1983) who, on the basis of a comparative morphological dataset, recognized four major taxa within the shrimp-like Decapoda: Dendrobranchiata, Stenopodidea, Caridea, and Procarididea. Since then, more evidence has come to light, suggesting a close affinity between Procarididae and Caridea; including the discovery of an egg-bearing female (Felgenhauer *et al.* 1988), as well as the presence of appendix internae in *Vetericaris* (see Kensley & Williams 1986). Nevertheless, Felgenhauer & Abele (1985) did point out the close similarity in foregut morphology between *Procaris* and Dendrobranchiata, but attributed this to a retained ancestral character state rather than a close phylogenetic relationship. Felgenhauer & Abele (1985) also highlighted a unique synapomorphy of Procarididae, notably the placement of the phyllobranchiate gills on the body. These are attached very low on the body wall and extend in only one direction, as opposed to being attached much higher and extending both vertically and horizontally (along two axes), as in all Caridea so far investigated. Abele (1991) retained the name Caridea for the taxon uniting both carideans and procaridids, and coined Eucaridea for the remaining caridean taxa (excluding procaridids). This suggestion was not accepted by later authors, and the conservative viewpoint of including the Procarididae as a superfamily within the Caridea has been followed since then in all major treatments of caridean classification (Chace 1992; Holthuis 1993; Martin & Davis 2001). However, it should be noted that Schram (1986) and Christoffersen (1988) treat the procaridids as a separate infraorder within decapod crustaceans.

In recent years, there has been considerable focus on the phylogeny of Decapoda, using both morphological (Burkenroad 1963, 1981; Abele & Felgenhauer 1986; Scholtz & Richter 1995; Dixon *et al.* 2003) and molecular methods (Crandall *et al.* 2000; Ahyong & O'Meally 2004; Porter *et al.* 2005; Tsang *et al.* 2008b; Bracken *et al.* 2009a; Toon *et al.* 2009). However, the position of the Procarididae in these studies has been somewhat neglected, because of the limited availability of this taxon in museum collections and the lack of material suitable for genetic analyses. Dixon *et al.* (2003) did include *P. ascensionis* in their morphological analysis, but did not discuss its relationship to other Caridea. Kim & Abele (1990) were the first to examine the phylogenetic position of the

Procarididae using genetic data (18S), suggesting a sister relationship with the Caridea; however, the 18S sequence for *Procaris* was incomplete (multiple stretches of missing characters, GenBank no. M34358) and the study lacked robust representation of caridean families ( $n = 2/36$ ) and genes ( $n = 1$ ). Bracken *et al.* (2009b) included *P. mexicana* in their molecular analysis of caridean families and indicated some support for infraordinal status of the taxon. However, they concluded a firmer decision must await the inclusion of more genes and a broader representation of decapod infraorders. Here, we present a molecular phylogeny of selected individuals that broadly represent decapod infraorders and superfamilies to examine the phylogenetic position of procaridid shrimp using one mitochondrial (16S) and three nuclear genes (18S, 28S, H3). Bayesian molecular dating methods were used to estimate and compare the divergence times among procaridids and other lineages. We include freshly collected material of the type species, *P. ascensionis*, and the Mexican representative, *P. mexicana*.

## Materials and methods

### Taxon selection

We selected 53 decapod species across the dendrobranchiate superfamilies Penaeoidea and Sergestoidea, and pleocyemate infraorders Stenopodidea, Caridea, Achelata, Astacidea, Anomura, Brachyura, Polychelida, Procarididea, Axiidea, and Gebiidea for the analysis (Table 1). Listings of these infraorders conform to conclusions of recent molecular studies that find palinurids (Scholtz & Richter 1995; Dixon *et al.* 2003; Ahyong & O'Meally 2004) and thalassinideans (Tsang *et al.* 2008a,b; Robles *et al.* 2009) to be para- or polyphyletic. The infraorders Axiidea and Gebiidea are recognized in place of Thalassinidea (Robles *et al.* 2009). We treat the procaridids as an infraordinal level taxon, as proposed by previous studies (Felgenhauer & Abele 1983; Schram 1986), and explore how this taxonomic designation reflects evolutionary relationships and divergence time, relative to other decapod infraorders.

Since we are interested in the position of procaridids among decapod infraorders, we included two species (three individuals) of the genus *Procaris*. The infraorder Caridea was also sampled more extensively than others (9 families, 18 species), since procaridids have traditionally been included within this group. One to five genera for each of the other infraorders/superfamilies (Penaeoidea, Stenopodidea, Achelata, Anomura, Brachyura, Astacidea, Polychelida, Sergestoidea, Axiidea, Gebiidea) were chosen as representatives. New sequences are indicated by accession numbers in bold, and the remaining sequences were obtained from GenBank. A majority of the GenBank sequences (EU, DQ, FJ, Table 1) in this study resulted

**Table 1** . Taxonomy, voucher catalogue numbers & GenBank accession numbers for gene sequences used in study.

Taxon	Voucher	GenBank nos.	GenBank nos.	GenBank nos.	GenBank nos.	
		16S	18S	28S	H3	
<b>Outgroup taxa</b>						
<b>Euphausiacea</b> Dana, 1852						
Euphausiidae Dana, 1852						
	<i>Euphausia eximia</i> Hansen, 1911	KCeux	DQ079713	DQ79748	DQ079787	DQ079674
	<i>Nematoscelis</i> sp.	KCnesp	DQ079725	DQ79760	DQ079801	DQ079690
<b>Hoplocarida</b> Calman, 1904						
Stomatopoda Latreille, 1817						
Squillidae Latreille, 1802						
	<i>Lysiosquillina maculata</i> (Fabricius, 1793)	KC3832	EU920935	EU920967	EU920998	EU921076
<b>Ingroup taxa</b>						
<b>Decapoda</b> Latreille, 1802						
<b>Dendrobranchiata</b> Bate, 1888						
<b>Penaeoidea</b> Rafinesque, 1815						
Aristeidae Wood-Mason, 1891						
	<i>Aristeomorpha foliacea</i> (Risso, 1827)	KC4280	<b>GQ487491</b>	<b>GQ487500</b>	<b>GQ487508</b>	<b>GQ487517</b>
Penaeidae Rafinesque, 1815						
	<i>Farfantepenaeus duorarum</i> (Burkenroad, 1939)	KC4282	FJ943438	FJ943445	FJ943451	FJ943459
	<i>Penaeus semisulcatus</i> De Hann, 1844	KC1269	DQ079731	DQ079766	DQ079809	DQ079698
Sicyoniidae Ortmann, 1898						
	<i>Sicyonia ingentis</i> (Burkenroad, 1938)	KC4279	<b>GQ487492</b>	<b>GQ487501</b>	N/A	GQ487518
<b>Sergestoidea</b> Dana, 1852						
Sergestidae Dana, 1852						
	<i>Sergia</i> sp.	ULLZ8089/KC4548	EU868710	EU868807	<b>GQ487509</b>	<b>GQ487519</b>
<b>Pleocyemata</b> Burkenroad, 1963						
<b>Stenopodidea</b> Claus, 1872						
Stenopodidae Claus, 1872						
	<i>Stenopus hispidus</i> (Olivier, 1811)	KC4276	FJ943437	FJ943443	FJ943450	FJ943457
Spongicolidae Schram, 1986						
	<i>Microprosthemina inornatum</i> Manning & Chace, 1990	KC4278	<b>GQ487493</b>	FJ943444	FJ943452	FJ943458
<b>Procarididea</b> Felgenhauer & Abele, 1983						
Procarididae Chace & Manning, 1972						
	<i>Procaris ascensionis</i> Chace & Manning 1972	KC4273	<b>GQ487494</b>	<b>GQ487502</b>	<b>GQ487510</b>	<b>GQ487520</b>
	<i>Procaris ascensionis</i> Chace & Manning 1972	KC4274	<b>GQ487495</b>	<b>GQ487503</b>	<b>GQ487511</b>	<b>GQ487521</b>
	<i>Procaris mexicana</i> Sternberg & Schotte, 2004	ULLZ9224	EU868715	EU868811	N/A	<b>GQ487522</b>
<b>Caridea</b> Dana, 1852						
Alpheidae Rafinesque, 1815						
	<i>Betaeus harrimani</i> Rathbun, 1904	KC3103	FJ943434	FJ943440	FJ943447	FJ943454
	<i>Metabetaeus</i> sp.	KC3109	FJ943435	FJ943441	FJ943448	FJ943455
Atyidae de Haan, 1849						
	<i>Atyoida bisulcata</i> (Randall, 1840)	KC2138	DQ079704	DQ079738	DQ079774	DQ079661
	<i>Typhlatya pearsei</i> Creaser, 1936	MLP85.1	DQ079735	DQ079770	DQ079813	DQ079702
Crangonidae Haworth, 1825						
	<i>Crangon crangon</i> (Linnaeus, 1758)	KC3052	EU920915	EU920938	EU920972	EU921047
	<i>Pontophilus norvegicus</i> (M. Sars, 1861)	KC3053	<b>GQ487496</b>	<b>GQ487504</b>	<b>GQ487512</b>	<b>GQ487523</b>
Disciidae Rathbun, 1902						
	<i>Discias</i> sp.	KC3108	EU920921	EU920941	EU920986	EU921054
Hippolytidae Dana, 1852						
	<i>Eualus gaimardii</i> (H. Milne Edwards, 1837)	KC3056	EU920923	EU920940	EU920973	EU921057
	<i>Hippolyte bifidirostris</i> Miers, 1876	KC3059	EU920927	EU920939	EU920974	EU921063
	<i>Lysmata debelius</i> (Bruce, 1983)	MLP121	DQ079718	DQ079752	DQ079793	DQ079681
Palaemonidae Rafinesque, 1815						
	<i>Coutierella tonkinensis</i> Sollaud, 1914	KC3068	EU920920	EU920937	EU920975	EU921053
	<i>Creaseria morleyi</i> (Creaser, 1936)	MLP 102.1	DQ079710	DQ079746	DQ079784	DQ079671
	<i>Cryphiops caementarius</i> (Molina, 1782)	JC1219	DQ079711	DQ079747	DQ079785	DQ079672
	<i>Macrobrachium pilimanus</i> (De Man, 1879)	KC3110	<b>GQ487497</b>	<b>GQ487505</b>	<b>GQ487513</b>	<b>GQ487524</b>

Table 1 (Continued)

Taxon	Voucher	GenBank nos.			
		16S	18S	28S	H3
<b>Pandalidae</b> Haworth, 1825					
<i>Pandalus montagui</i> Leach, 1814	KC3144	GQ487498	GQ487506	GQ487514	GQ487525
<b>Processidae</b> Ortmann, 1890					
<i>Nikoides danae</i> Paulson, 1875	KC3114	FJ943436	FJ943442	FJ943449	FJ943456
<i>Processa bermudensis</i> (Rankin, 1900)	KC3079	GQ487499	GQ487507	GQ487515	GQ487526
<b>Xiphocarididae</b> Ortmann, 1895					
<i>Xiphocaris elongata</i> (Guérin-Méneville, 1856)	ULLZ 8882/KC3107	EU868714	EU868809	GQ487516	GQ487527
<b>Achelata</b> Scholtz & Richter, 1995					
<b>Palinuridae</b> Latreille, 1802					
<i>Jasus edwardsii</i> (Hutton, 1875)	KC725/KC3209	DQ079716	AF235972	DQ079791	EU921064
<i>Palinurus elephas</i> (Fabricius, 1787)	KC3210	EU920929	EU920959	EU920999	EU921069
<b>Scyllaridae</b> Latreille, 1825					
<i>Scyllarus arctus</i> (Linnaeus, 1758)	KC2159	DQ079732	DQ079767 EU921000	DQ079810	DQ079699
<b>Anomura</b> MacLeay, 1838					
<b>Aeglididae</b> Dana, 1852					
<i>Aegla abtao</i> Schmitt, 1942	KAC-Aa5/ KACaa004/ KC_Aa004	AY050067	AF439390	AY595965	DQ079658
<b>Chirostylidae</b> Ortmann, 1892					
<i>Eumunida funambulus</i> Gordon, 1930	KC3100	EU920922	EU920957	EU920984	EU921056
<b>Galatheididae</b> Samouelle, 1819					
<i>Munidopsis rostrata</i> (A. Milne-Edwards, 1880)	KC3102	EU920928	EU920961	EU920985	EU921066
<b>Lithodidae</b> Samouelle, 1819					
<i>Lithodes santolla</i> (Molina, 1792)	KClisa/KAClisa	AY595927	AF439385	AY596100	DQ079679
<b>Pylochelidae</b> Bate, 1888					
<i>Pomatocheles jeffreysii</i>	KC3097	EU920930	EU920965	EU920983	EU921070
<b>Astacidea</b> Latreille, 1802					
<b>Astacidae</b> Latreille, 1802					
<i>Astacus astacus</i> (Linnaeus, 1758)	JF134	AF235983	AF235959	DQ079773	DQ079660
<b>Cambaridae</b> Hobbs, 1942					
<i>Barbicambarus cornutus</i> (Faxon, 1884)	KC1941	EU920913	EU920951	EU920993	EU921045
<b>Nephropidae</b> Dana, 1852					
<i>Homarus americanus</i> H. Milne Edwards, 1837	KChoam	HAU11238	AF235971	DQ079788	DQ079675
<b>Parastacidae</b> Huxley, 1879					
<i>Astacoides betsileoensis</i> Petit, 1923	KC1822	EU920912	EU920955	EU920992	EU921044
<i>Euastacus robertsi</i> Monroe, 1977	KC2781	DQ006633	EU920962	EU920988	EU921058
<b>Brachyura</b> Latreille, 1802					
<b>Calappidae</b> Milne Edwards, 1837					
<i>Calappa gallus</i> (Herbst, 1803)	KC3083	EU920917	EU920943	EU920976	EU921050
<b>Cancridae</b> Latreille, 1802					
<i>Cancer pagurus</i> Linnaeus, 1758	KC2158	DQ079708	DQ079743	DQ079781	DQ079668
<b>Dorippidae</b> MacLeay, 1838					
<i>Ethusa</i> sp.	KC3088	EU920925	EU920966	EU920980	EU921061
<b>Goneplacidae</b> MacLeay, 1838					
<i>Carcinoplax suruguensis</i> Rathbun, 1932	KC3087	FJ943433	FJ943439	FJ943446	FJ943453
<b>Grapsidae</b> MacLeay, 1838					
<i>Cyclograpsus cinereus</i> Dana, 1851	KC3417	EU920914	EU920945	EU920997	EU921046
<b>Polychelida</b> De Haan, 1841					
<b>Polychelidae</b> Wood-Mason, 1874					
<i>Polycheles typhlops</i> C. Heller, 1862	KC3101	EU920932	EU920950	EU921003-EU921004	EU921073
<b>Axiidea</b> Saint Laurent, 1979					
<b>Axiidae</b> Huxley, 1879					
<i>Calaxius manningi</i> Kensley et al., 2000	NTOUA-0053	EF585447	EF585458	EF585469	N/A
<b>Callianassidae</b> Dana, 1852					
<i>Lepidophthalmus louisianensis</i> (Schmitt, 1935)	KC1852	DQ079717	DQ079751	DQ079792	DQ079678

**Table 1** (Continued)

Taxon	Voucher	GenBank nos.	GenBank nos.	GenBank nos.	GenBank nos.
		16S	18S	28S	H3
<i>Sergio mericeae</i> Manning & Felder, 1995	KC1865	DQ079733	DQ079768	DQ079811	DQ079700
Calocarididae Ortmann, 1891					
<i>Calastacus crosnieri</i> Kensley and Chan 1998	NTOUA-00212	EF585446	EF585457	EF585468	N/A
<b>Gebiidea</b> Saint Laurent, 1979					
Laomediidae Borradaile, 1903					
<i>Laomedia astacina</i> de Haan, 1841	NTOUA-00366	EF585450	EF585461	EF585472	N/A
Thalassinidae Latreille, 1831					
<i>Thalassina anomala</i> (Herbst, 1804)	ZRC1998-2263	AY583896	AY583969	EF585476	N/A
Upogebiidae Borradaile, 1903					
<i>Austinogebia narutensis</i> (Sakai, 1896)	NTOUA-00416	EF585443	EF585454	EF585465	N/A

An 'N/A' (not available) indicates missing sequence data. New sequences are indicated in bold.

from previous work in the laboratories of one or more of the authors (Table 1).

To better resolve relationships within decapod crustaceans, we included one stomatopod (*Lysiosquillina maculata*) and two euphausiaceans (*Euphausia eximia* and *Nematoscelis* sp.) as our outgroup taxa. All outgroup sequences were obtained from GenBank (Table 1).

#### Gene selection

One mitochondrial (16S) and three nuclear genes (18S, 28S, H3) were selected due to their range of phylogenetic utility (Toon *et al.* 2009). The nuclear ribosomal genes 18S and 28S resolve deeper-level relationships while the nuclear protein-coding gene, H3, and mitochondrial ribosomal gene fragment, 16S, show informative resolution in family, genus, and species-level studies (Spears *et al.* 1992, 1994; Giribet *et al.* 1996; Schubart *et al.* 2000; Stillman & Reeb 2001; Tudge & Cunningham 2002; Porter *et al.* 2005; Mantelatto *et al.* 2006, 2007; Robles *et al.* 2007, 2009; Bracken *et al.* 2009a,b; Felder & Robles 2009). Since we are exploring relationships over a broad range of taxonomic levels (infraorder to species), the genes were concatenated and partitioned in the final analyses.

#### DNA extraction, PCR, and sequencing

Total genomic DNA was extracted from the abdomen, gills, pereopods or pleopods using the Qiagen DNeasy<sup>®</sup> Valencia, CA, USA Blood and Tissue Kit (Cat. No. 69582). Targeted gene regions were amplified by means of the polymerase chain reaction (PCR) using one or more sets of primers: 16S, large ribosomal subunit (~550 bps, Crandall & Fitzpatrick 1996); 18S, small ribosomal subunit (~1900 bps, Whiting *et al.* 1997; Apakupakul *et al.* 1999; Whiting 2002); 28S, large ribosomal subunit (~2500 bps, Whiting *et al.* 1997; Whiting 2002; Toon *et al.* 2009); H3, protein-coding gene (~330 bps, Colgan *et al.* 1998).

Reactions were performed in 25 µL volumes containing 0.5 µM forward and reverse primer for each gene, 200 µM each dNTP, PCR buffer, magnesium chloride, 1 unit HotMasterTaq polymerase (5 PRIME), and 30–100 ng extracted DNA. The thermal cycling profile conformed to the following parameters: Initial denaturation for 1 min at 94 °C followed by 30–40 cycles of 1 min at 94 °C, 1 min at 46–58 °C (depending on gene region), 1 min at 72 °C and a final extension of 10 min at 72 °C. PCR products were purified using filters (PrepEase<sup>™</sup> PCR Purification 96-well Plate Kit, USB Corporation) and sequenced with ABI BigDye<sup>®</sup> terminator mix (Applied Biosystems, Foster City, CA, USA). An Applied Biosystems 9800 Fast Thermal Cycler (Applied Biosystems) was used in PCR and cycle sequencing reactions, and sequencing products were run (forward and reverse) on an ABI 3730xl DNA Analyser 96-capillary automated sequencer.

#### Phylogenetic analyses

Sequences were assembled, cleaned, and edited using the computer program Sequencher 4.7 (GeneCodes, Ann Arbor, MI, USA). Alignments were created using multiple sequence comparison by log-expectation (MUSCLE) or MAFFT, which have been found to be more accurate and faster than other alignment algorithms (Edgar 2004; Katoh *et al.* 2005). GBlocks v0.91b (Castresana 2000) was used to identify highly divergent and poorly aligned positions within 16S, 18S, and 28S datasets which were subsequently omitted from further analyses (GBlocks parameters optimized for dataset: minimum number of sequences for a conserved position (16S/18S/28S) = 27/27/27; minimum number of sequences for a flanking position (16S/18S/28S) = 44/44/41, maximum number of contiguous non-conserved positions (16S/18S/28S) = 8/8/8; minimum length of a block (16S/18S/28S) = 5/5/5; allowed gap positions = half/half/half). After highly divergent

positions were pruned, individual datasets consisted of 368 (16S), 1565 (18S), 1235 (28S), and 316 (H3) basepairs. Alignments were concatenated into a single dataset consisting of 3484 basepairs and 57 sequences.

The model of evolution that best fit the individual datasets (16S, 18S, 28S, H3) was determined by MODELTEST 3.7 (Posada & Crandall 1998). The maximum likelihood (ML) analysis was conducted using randomized accelerated maximum likelihood (RAxML) (Stamatakis *et al.* 2005, 2007, 2008) with computations performed on the computer cluster of the Cyberinfrastructure for Phylogenetic Research Project (CIPRES) at the San Diego Supercomputer Centre. Likelihood settings followed the general time reversible model (GTR) with a gamma distribution and invariable sites and RAxML estimated all free parameters following a partitioned dataset. Confidence in the resulting topology was assessed using non-parametric bootstrap estimates (Felsenstein 1985) with 1000 pseudoreplicates and values >50% are presented on the resulting phylogeny (Fig. 1).

The Bayesian (BAY) analysis was conducted in MrBayes v3.0b4 (Huelsenbeck & Ronquist 2001) on the Life Sciences Computational Cluster at Brigham Young University. Three independent BAY analyses (each consisting of four chains) were performed using parameters selected by MODELTEST. All Markov chain Monte Carlo (MCMC) algorithms ran for 20 million generations, sampling one tree every 1000 generations. To ensure that independent analyses converged on similar values, we graphically compared all likelihood parameters and scores (means and variances) using the program Tracer v1.4 (Rambaut & Drummond 2007). Observation of the likelihood (-LnL) scores in Tracer v1.4 allowed us to determine burn-ins and stationary distributions for the data. Once the values reached a plateau, a 50% majority-rule consensus tree was obtained from the remaining saved trees. Posterior probabilities (pP) for clades were compared for congruence and then combined between individual analyses. Values >0.5 are presented on the BAY phylogram (presented as percentages) (Fig. 1).

#### Fossil & time calibrations

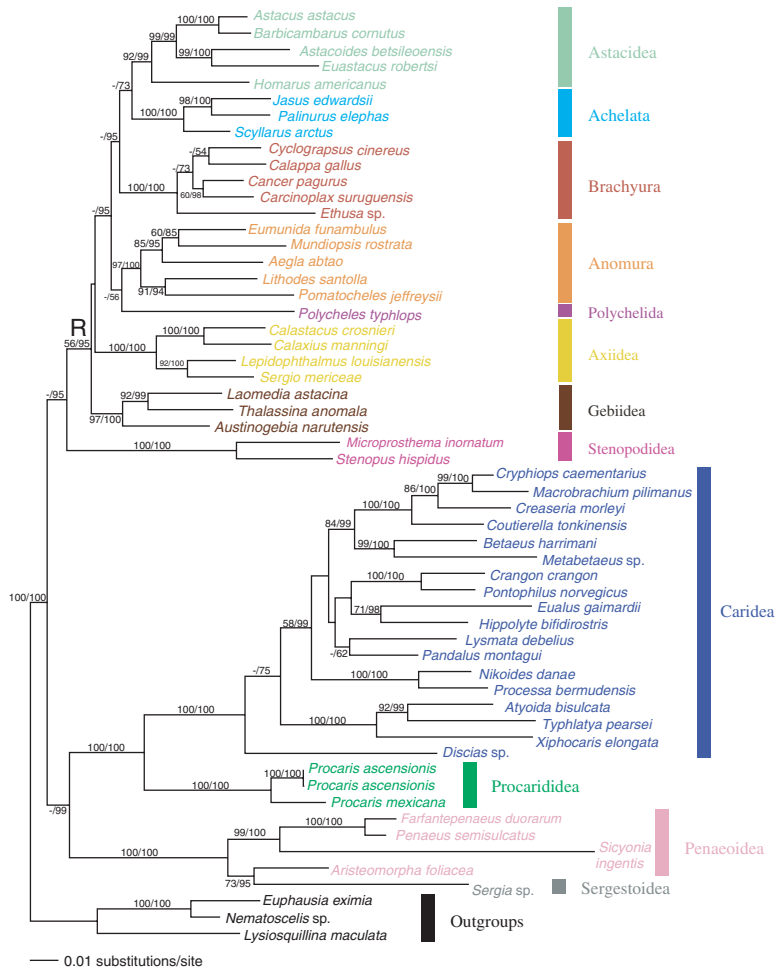
We included 14 calibration points within our divergence time analysis across a wide variety of taxa at both shallow and deep nodes (Table 2). Thirteen of the calibration points were based on fossil data (C1–C13), while one age represented the time estimation for a vicariant event (C14). For fossil data, we chose the oldest known representative for a particular clade and then calculated the mean age for the fossil. Because we assumed the divergence time should predate the fossil occurrence, all fossil ages were set as the lower limit (minimum age) at their

respective nodes. Bayesian analyses for molecular time estimation required us to set one calibration point as our upper limit (maximum age). Following previous studies (Porter *et al.* 2005; Breinholt *et al.* 2009), we set the splitting of Pangaea (185 MYA) to represent the divergence between the Northern and Southern Hemisphere crayfish superfamilies Astacoidea and Parastacoidea (Crandall & Buhay 2008).

As previously noted, the mean age was taken for most fossils and set as the lower limit, as used in previous studies (Porter *et al.* 2005; Breinholt *et al.* 2009). In some cases, we used prior knowledge to estimate dates of common ancestry and make predictions about the placement of unresolved fossils, which we discuss. The oldest penaeoid fossils were recovered from Triassic deposits in Madagascar and Europe and are known as the *Aeger* and *Antrimpos* fossils. The *Aeger* fossils, present from the Triassic to late Cretaceous, represent an extinct family Aegeiridae, with three pairs of chelate pereopods, the 1st somite overlapping the second, and a petasma (Bals 1957; Burkenroad 1963). The *Antrimpos* fossils belong to a second family of penaeoid shrimp and were very similar in morphology to the present-day *Penaeus* (Burkenroad 1963). The presence of two families in the Triassic suggest the ancestral penaeoid diverged prior to the Mesozoic Era, so we chose 248 MYA to represent the most common recent ancestor of this group (taken from 1999 Geological Society of America (GSA) time scale) (Burkenroad 1963). Additional penaeoid fossils belonging to the genus *Penaeus* sensu lato (s.l.) (i.e., including *Farfantepenaeus* and *Penaeus* sensu stricto in our analysis) were first reported from the Jurassic shale and frequent throughout the Cretaceous (Glaessner 1969; Dall *et al.* 1990). We chose to place a lower limit (minimum age) of 144 MYA (taken from GSA time scale) at the end of the Jurassic for the divergence of the *Penaeus* s.l. lineage. Similar penaeoid divergence dates have been used in previous divergence time analyses (Ma *et al.* 2009).

The earliest stenopodidean fossil, *Phoenice pasinni*, was reported from the Upper Cretaceous (Cenomanian) (93.5–99 MYA). The presence of this fossil suggests the ancestor diverged prior to this period, so we assigned a lower limit of 96.3 MYA to represent the most recent common ancestor of the Stenopodidea.

The most ancient decapod fossil is *Palaeopalaemon newberryi* Whitfield 1880; recovered from the Upper Devonian approximately 360 MYA (Schram & Dixon 2004). This species has been allied with astacideans (Schram *et al.* 1978; Felgenhauer & Abele 1983; Christoffersen 1988), glypheids (Burkenroad 1983; Felgenhauer & Abele 1983), and natant groups (Felgenhauer & Abele 1983) based on morphological features it shares with these taxa. A recent



**Fig. 1** Bayesian (BAY) phylogram for selected decapods ( $n = 54$ ) and outgroups ( $n = 3$ ) based on a 16S (mtDNA), 18S (nDNA), 28S (nDNA) and H3 (nDNA) concatenated dataset. ML bootstrap values and BAY posterior probabilities are represented as percentages and noted above or below the branches (ML/BAY). Values <50% are not shown. Vertical coloured bars indicate major infraorders/superfamilies within Decapoda. R = Reptantia.

morphological cladistic analysis placed *Palaeopalaemon* as a sister group to a clade uniting Achelata, Anomura, and Brachyura (=Eurysternalia) (Schram & Dixon 2004). Since the phylogenetic position of *P. newberryi* is uncertain, we have taken a conservative approach and used this fossil to date the Reptantia node, for primarily crawling lineages, similar to previous studies (Porter *et al.* 2005).

**Divergence time estimates**

We used the Bayesian molecular dating method implemented in multidivtime (Thorne *et al.* 1998; Kishino *et al.* 2001; Thorne & Kishino 2002; Thorne 2003). Multidivtime derives the posterior distribution for evolutionary rates and times by using the MCMC procedure. This Bayesian method allows us to estimate branch lengths without assuming a molecular clock and can be used on multi-locus datasets. It can accommodate missing data and multiple calibration points. Upper and/or lower limits can be assigned to nodal ages, so that the divergence estimate at a specific node is not fixed. This method has been

shown to give more consistent estimates than other approaches for estimating divergence times (Perez-Losada *et al.* 2004).

Model parameters were estimated using F84 + gamma (Felsenstein 1984) in the baseml analyses (implemented in PAML (Phylogenetic Analysis by Maximum Likelihood) package). All estimations for model parameters and branch lengths were calculated separately for each gene. The prior distribution for the time separating the ingroup root from the tips (rttm) and standard deviation (rttmsd) was set to 4.37 (437 MYA), as estimated in previous studies (Porter *et al.* 2005). The prior distribution for the rate of molecular evolution at the ingroup root (rtrate) and standard deviation (rtratesd) were calculated after observing the branch lengths obtained in the estbranches program. The median of all the branch lengths was calculated (Thorne's value X) and then divided by the rttm to obtain rtrate and rtratesd of 0.04 substitutions per 100 MY. When there is little knowledge of the evolutionary rates within a group, the program authors suggest setting the rtrate equal to

**Table 2** Taxonomy and calibration points used in this study.

Taxonomy	Species	Reference	Geologic age (MY A)	Node
<b>Fossil calibrations</b>				
<b>Natantia</b>				
<b>Suborder Dendrobranchiata</b>				
Superfamily Penaeoidea				
Family Aegeridae	<i>Aeger</i> sp.	Burkenroad (1963) Feldmann et al. (2007) Glaessner (1969)	Triassic – Late Cretaceous (248*) L	C1
Family Penaeidae	<i>Antrimpos</i> sp.	Burkenroad (1936, 1963)	Early Triassic – Late Jurassic (248*) L	C1
	<i>Penaeus</i> s.l.	Glaessner (1969) Dall et al. (1990)	Late Jurassic – Cretaceous (144*) L	C2
<b>Suborder Pleocyemata</b>				
Infraorder Caridea				
Family Atyidae	<i>Delclosia martinelli</i>	Rabadà (1993)	Early Cretaceous (lower Barremian) (124 – 127) L	C3
Family Palaemonidae	<i>Beurlenia araripensis</i>	Martins-Neto & Mezzalira (1991)	Early cretaceous (upper Aptian/lower Albian) (105–116) L	C4
	<i>Palaemon antonellae</i>	Garassino & Bravi (2003)	Early cretaceous (Albian) (99–112) L	C4
Family Crangonidae	<i>Morscrangon acutus</i>	Garassino & Jakobsen (2005)	Early Eocene (49–54.8) L	C5
Infraorder Stenopodidea				
Family Stenopodidae	<i>Phoenice pasinni</i>	Garassino (2000)	Late Cretaceous (Cenomanian) (93.5–99) L	C6
<b>Reptantia</b>	<i>Palaeopalaemon newberryi</i>	Whitfield (1880)	Late Devonian (Famannian) (354–364) L	C7
Infraorder Astacidea				
Family Chimaerastacidae	<i>Chimaerastacus paciflualis</i>	Amati et al. (2004)	Mid Triassic (upper Ladinian) (227–234) L	C8
Family Astacidae	<i>Astacus licenti</i>	Van Straelen (1928)	Late Jurassic (144–159) L	C9
	<i>Astacus spirostris</i>	Imaizumi (1938)	Late Jurassic (144–159) L	C9
Family Parastacidae	<i>Palaeoechinastacus australianus</i>	Martin et al. (2008)	Early Cretaceous (106*) L	C10
Infraorder Anomura				
Family Aeglididae	<i>Protaegla miniscula</i>	Feldmann et al. (1998)	Early Cretaceous (Albian) (99–112) L	C11
Family Chirostylidae	<i>Pristinaspina gelasina</i>	Schweitzer & Feldmann (2000a)	Cretaceous (65–144) L	C12
Infraorder Brachyura				
Family Cancridae	<i>Notocarcinus sulcatus</i>	Schweitzer & Feldmann (2000b)	Mid Eocene (41.3–49) L	C13

Time estimation: splitting of Pangaea was set at 185 MYA (U, C14) to represent the divergence of the Northern and Southern Hemisphere crayfish superfamilies Astacoidea and Parastacoidea.

The average age was taken for all fossil ages in the parenthesis and '\*\*' represents ages used in the analysis. L, lower limit, U, upper limit.

rtrateds. Alternative values were set for rttm/rttmsd ( $\pm 50$ –100 MY, 3.37–5.37) and rtrate/rtrateds (0.032–0.051) and the final estimations were only slightly affected ( $\sim 1$ –3 MY). The Markov chain was sampled  $1 \times 10^4$  times collected every 100th cycle with a burnin period of  $10^5$ . When the Markov chain was sampled more extensively ( $5 \times 10^4$ ), divergence time estimates did not change much (differed by  $<1$  MY). Default options were chosen for all other parameters. The analyses were run four times and convergence was measured by evaluating the proportion of successes (psuc) and comparing the results (nodal ages and confidence intervals) of the independent runs.

## Results

### Phylogenetic analyses

In total, we included 57 sequences for 16S and 18S, 56 sequences for 28S, and 52 sequences for H3 (Table 1). Missing data were designated as a '?' in the alignment.

The optimal models of evolution selected in MODELTEST were the General Time Reversible (GTR) model (16S, 18S, 28S) and Transversion (TVM) model (H3) with gamma-distributed among-site rate heterogeneity and invariant sites (Table 3). Topologies derived from the ML and BAY analyses were strongly congruent, but because the BAY analysis showed better resolution at the deeper nodes (between infraorders), we present the BAY phylogram (Fig. 1).

The objective of this study was to infer the position of the procaridids in relation to other decapod crustaceans, so sampling was limited to representatives from each infraorder. Our study is not intended to test the monophyly of decapod infraorders, however, there is statistical support for nine pleocyemate infraorders (with multiple representatives): Achelata (bs = 100%,  $pP = 1.0$ ), Astacidea (bs = 92%,  $pP = 0.99$ ), Anomura (bs = 97%,  $pP = 1.0$ ), Brachyura (bs = 100%,  $pP = 1.0$ ), Stenopodidea (bs = 100%,



$pP = 1.0$ ), Caridea (bs = 100%,  $pP = 1.0$ ), Procarididea (bs = 100%,  $pP = 1.0$ ), Axiidea (bs = 100%,  $pP = 1.0$ ), and Gebiidea (bs = 97%,  $pP = 1.0$ ) (Fig. 1). The suborder Dendrobranchiata was supported (bs = 100%,  $pP = 1.0$ ) but Penaeoidea was recovered as a paraphyletic clade with the inclusion of Sergestoidea. The procaridids were recovered as the sister group to the carideans with significant support (bs = 100%,  $pP = 1.0$ , Fig. 1). In many cases, higher-level relationships (among infraorders) were unsupported with likelihood bootstrap values, but Bayesian analyses did support Reptantia ( $pP = 0.95$ ) among other infraordinal groupings (Fig. 1). Although BAY analyses recovered significant support for some higher-level relationships, these findings should be interpreted with caution, as many studies have shown posterior probabilities to overestimate phylogenetic support (Suzuki *et al.* 2002; Cummings *et al.* 2003; Douady *et al.* 2003), especially on short branches.

#### Divergence time analyses

In total, we ran 10 independent multidivtime analyses using different parameters and assumptions (see Materials and methods). For each run, the final divergence time estimates were only slightly affected ( $<1-3$  MY), and in our final analysis, divergence times and confidence intervals are presented for each node (Fig. 2, Table 4).

The origin of the Decapoda was placed in the Silurian ( $\sim 418.56$  MYA), in agreement with previous studies (Porter *et al.* 2005). This age is not unexpected since we set the prior distribution for the time separating the ingroup root from the tips at 437 MYA (rrtm). When we increased or decreased the rrtm by 100 MY, a similar value was obtained ( $\sim 417-418$  MYA).

The Natantia, or swimming lineages (Dendrobranchiata, Procarididea, Caridea, and Stenopodidea), originated in the Devonian and represent the oldest decapod crustaceans (Fig. 2). Our results suggest the Dendrobranchiata (Penaeoidea, Sergestoidea) are an ancient group, splitting into subsequent lineages approximately 282 MYA. The procaridid and carideans represent early lineages, diverging from each other approximately 333 MYA. From the early Permian through the middle Jurassic, the carideans underwent a

period of rapid radiation ( $\sim 262-176$  MYA), giving rise to many of the families that exist today. Our results suggest the stenopodideans split around 184 MYA into the lineages that today represent the families Spongiocolidae and Stenopodidae.

The natantian groups were followed by divergence of the crawling/walking reptant lineages, which include Axiidea, Gebiidea, Polychelida, Anomura, Brachyura, Achelata, and Astacidea. Results suggest the Reptantia originated in the Devonian ( $\sim 390$  MYA, Fig. 2). The radiation of the major decapod infraorders followed soon thereafter, with all groups present within the Carboniferous (290–354 MYA). Within our phylogeny, the divergence of the astacideans occurred around 280 MYA, giving rise to the crayfish (Astacoidea, Parastacoidea) and clawed lobster (Nephropoidea) clades. Following this divide, the Southern Hemisphere and Northern Hemisphere crayfish superfamilies Astacoidea and Parastacoidea radiated after the splitting of Pangaea approximately 180 MYA. Our estimates infer Anomura and Gebiidea diverged within the Carboniferous ( $\sim 296, 309$  MYA, respectively) while Achelata, Brachyura, and Axiidea radiated within the Jurassic ( $\sim 176$  MYA), Triassic ( $\sim 223$  MYA), and Permian ( $\sim 255$  MYA), respectively.

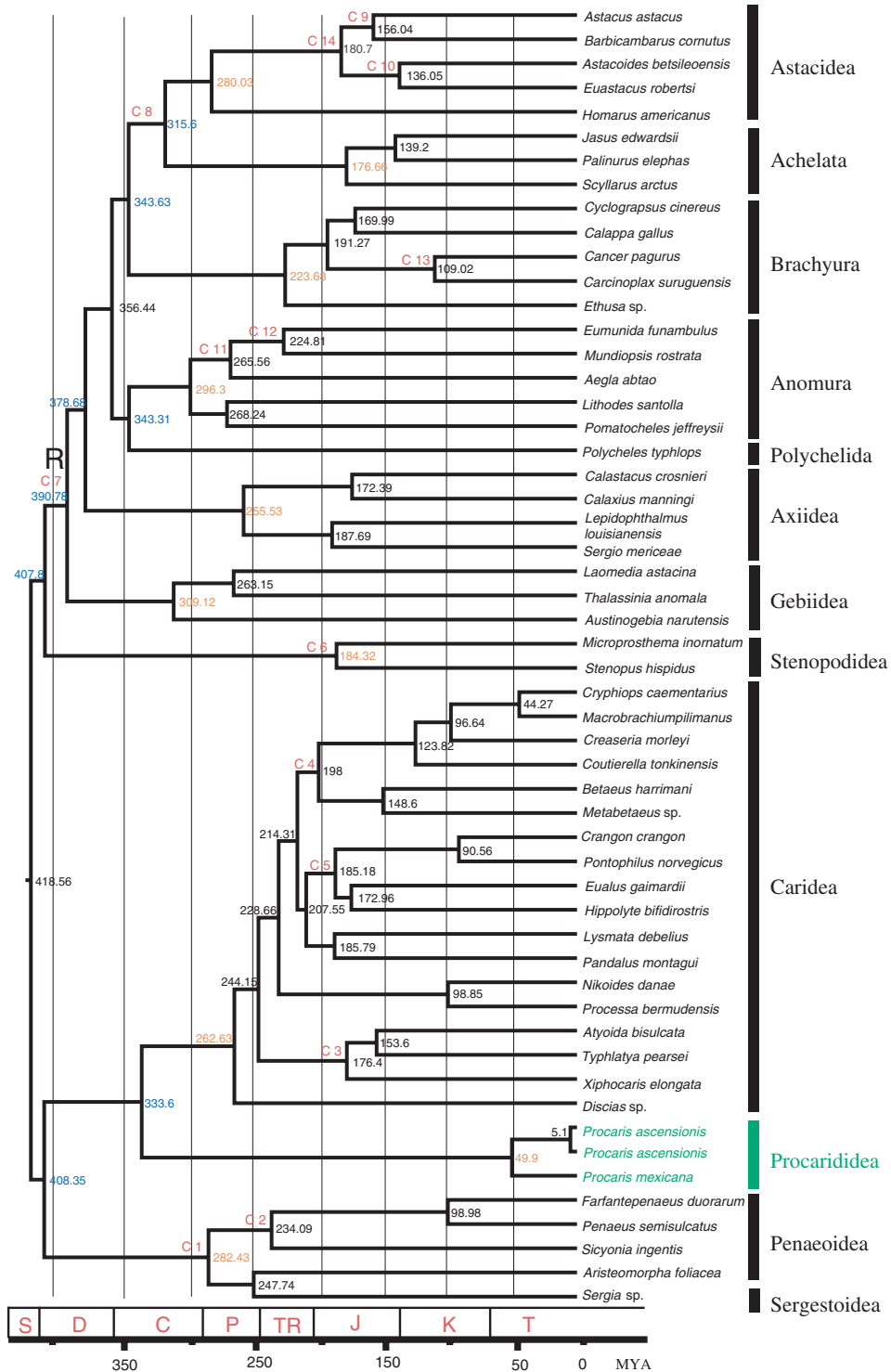
## Discussion

### Molecular evidence

*Phylogenetic position.* Few molecular studies have included procaridid shrimp and of those studies that incorporate this taxon, none have included a robust sampling of decapod infraorders and/or molecular markers (Kim & Abele 1990; Bracken *et al.* 2009b). On the basis of one mitochondrial gene, three nuclear genes, and 53 selected decapod species, our study is the first to confidently secure the placement of procaridids as the sister taxon to caridean shrimp. The infraorder Caridea was sampled exhaustively across a variety of families so that the evolutionary distance between the carideans and procaridids could be accurately portrayed in the phylogeny. The branch lengths (number of substitutions per site) uniting the carideans with the procaridids is comparable (if not longer) to the branch lengths uniting other infraorders (e.g., Astacidea

**Table 3** Parameters used in BAY analysis.

Gene	Empirical base frequencies	Rate matrix	Gamma shape parameter	Proportion of invariable sites
16S	0.3906, 0.0581, 0.1519, 0.3994	1.6920, 5.4630, 1.0838, 0.5705, 11.6009, 1	0.5284	0.2467
18S	0.2376, 0.2420, 0.2915, 0.2289	1.3394, 2.5424, 1.0932, 0.8185, 3.9714, 1	0.6323	0.4514
28S	0.2415, 0.2404, 0.3279, 0.1902	0.7013, 1.9876, 1.1716, 0.8877, 4.5152, 1	0.7027	0.3373
H3	0.1785, 0.2929, 0.2461, 0.2825	2.8320, 8.1229, 5.2012, 1.3928, 8.1229, 1	1.2632	0.5947



**Fig. 2** Divergence time chronogram for selected decapods ( $n = 54$ ) estimated using a Bayesian topology. Calibration points are indicated by C1–C14, in accordance with Table 2. Vertical bars indicate major infraorders/superfamilies within Decapoda. Divergence time estimates (MY) are noted adjacent to their respective nodes. Blue ages = divergence split times of major lineages. Orange ages = nodal ages of major clades. Geological periods are superimposed onto the phylogeny and listed as follows: S, Silurian; D, Devonian; C, Carboniferous; P, Permian; TR, Triassic; J, Jurassic; K, Cretaceous; T, Tertiary. Outgroups have been excluded from the phylogeny. R = Reptantia.

**Table 4** Divergence times and confidence intervals for all the nodes presented in the study.

Divergence times	95% Confidence intervals	Divergence times	95% Confidence intervals
5.1	0.207, 16.320	214.31	172.524, 267.814
44.27	25.020, 70.604	223.68	171.284, 286.431
49.9	15.638, 111.926	224.81	160.326, 295.191
90.56	57.624, 130.320	228.66	185.329, 284.680
96.64	62.783, 138.739	234.08	181.995, 298.066
98.85	61.757, 141.740	244.15	199.685, 302.770
98.98	51.096, 151.980	247.74	205.345, 305.711
109.02	59.942, 169.928	255.53	189.804, 330.164
123.82	87.543, 166.915	262.63	217.252, 324.222
136.05	109.687, 164.631	263.15	188.032, 344.592
139.20	85.112, 205.213	265.56	206.423, 331.898
148.60	108.703, 197.776	268.24	214.104, 332.215
153.60	112.733, 204.446	280.03	233.694, 335.111
156.04	151.148, 168.740	282.43	250.102, 341.598
169.99	119.001, 228.068	296.3	246.119, 360.728
172.39	102.500, 249.808	309.12	245.724, 383.794
172.96	130.611, 224.612	315.6	273.957, 374.262
176.40	134.332, 230.261	333.6	285.442, 403.987
176.66	120.442, 241.722	343.31	300.090, 409.701
180.7	169.708, 184.887	343.63	303.234, 406.433
184.32	125.499, 252.181	356.44	316.300, 423.106
185.18	144.188, 237.278	376.8	337.827, 445.922
185.79	144.843, 236.228	390.78	360.115, 462.470
187.69	128.767, 251.817	407.8	370.155, 484.225
191.27	140.016, 251.899	408.35	367.735, 486.030
198	155.803, 250.979	418.56	378.709, 497.065
207.55	166.091, 260.272		

vs. Achelata), consistent with treating the procaridids as an infraordinal taxon.

**Divergence time analysis.** The divergence time analysis suggests the caridean-procaridid lineage split approximately 333 MYA during the early Carboniferous with a confidence interval spanning between 285 MYA to 404 MYA (see Table 4). The timing of this split (333 MYA) is well within the range of divergence times for other infraordinal divergences [408 MYA (Stenopodidea-others) – 315 MYA (Astacidea-Achelata)] (Fig. 2), providing additional support for the separation of the Procarididae from the Caridea. Approximately 70 million years later, the carideans radiated into many of the present-day lineages. The divergence of the procaridid species is estimated at 50 MYA with a large confidence interval of 15–112 MYA. This is most probably due to having only two procaridid species represented in our sample and our lacking fossil calibration in this group.

#### Morphological evidence

Morphological support for recognizing a separate infraorder for the Procarididae is equally strong. Using an

exhaustive list of morphological characters, Abele & Felgenhauer (1986) found that Procarididae share only one synapomorphy with the remaining Caridea, namely the second pleuron overlapping the first and third somite without the first one being reduced. The true phylogenetic significance of this character state remains to be investigated (and could be the result of convergence rather than ancestry) as it varies considerably within Caridea. For example, the anterior overlap is absent in *Psolidopus* and several species of *Glyphocrangon*. Although Procarididae and Caridea share phyllobranchiate gills, their differential placement casts doubt on whether this similar shape in the lamellae represents a homologous character (see Fig. 7 in Abele & Felgenhauer 1986). A similar arrangement of mastigobranchs and setobranchs for passive gill-cleaning has also been suggested as a synapomorphy to unite Caridea + Procarididae (Bauer 2004), but the present authors are not unanimous in accepting of these as equivalent arrangements. These structures do vary among carideans and are absent in several caridean families. However, it has also been argued the alteration and loss of these structures is secondary in selected caridean groups, where they have been functionally displaced by cleaning mechanisms such as cheliped brushing (Bauer 1979, 1984, 2004).

Procarididae are assumed to share a suite of characters with Dendrobranchiata (Chace & Manning 1972; Kensley & Williams 1986), including the well-developed gastric mill. The absence of a gastric mill has often been considered widespread within the Caridea, but several studies (Felgenhauer & Abele 1983, 1985, 1989) have documented the presence of a well-developed mill in several families of basally positioned carideans. Similarly, the L-shaped mastigobranch, assumed to be shared between Dendrobranchiata and Procarididae, also occurs in several basally rooted families of Caridea (De Grave, S. & Goulding, M. in preparation). We thus interpret morphological characters in Procarididae to represent a combination of ancestral states still seen in the modern Dendrobranchiata with plesiomorphic states exemplified among some modern Caridea. While an exhaustive comparison of procaridid morphology to Dendrobranchiata and Caridea is beyond the scope of the present study, a synapomorphy of the Procarididae could be the placement of the phyllobranchiate gills, as identified by Abele & Felgenhauer (1986).

**Taxonomic implications and systematic status.** Our results demonstrate a close phylogenetic affinity between Procarididae and the Caridea, with Procarididae being a sister group to the families of Caridea. This is effectively the same topology as inferred by Abele & Felgenhauer (1986), Christoffersen (1988), Kim & Abele (1990), Abele (1991)

and Bracken *et al.* (2009b), which were based upon a variety approaches. Abele (1991) suggested using the name Caridea for the clade uniting both of these taxa, and recognized two subclades within this: Procarididea and Eucaridea. Although not clearly stated as such, the chosen suffix (-idea) inferred that the latter two clades were to be considered infraorders, as implemented by Kensley & Williams (1986) for the classification in Abele & Felgenhauer (1986). This is at odds with the current Linnean hierarchical classification of Decapoda (Martin & Davis 2001), in which two suborders are recognized: Dendrobranchiata and Pleocyemata, the latter divided into several infraorders (see also Scholtz & Richter 1995; Ah Yong & O' Meally 2004; Porter *et al.* 2005).

Our molecular results support positioning of the procaridids at the infraordinal level. The group is a strongly supported monophyletic clade separated at genetic distances comparable to those between other decapod infraorders. Divergence time estimates show chronologies also on par with the divergence times of other infraorders. Finally, a suite of morphological characters supports the infraordinal position of this taxon. Thus, we recognize infraordinal status for the family Procarididae, as Infraorder Procarididea Felgenhauer & Abele 1983; raising the number of decapod shrimp-like higher taxa to four (see Franzen & De Grave 2009): suborder Dendrobranchiata, and the infraorders Procarididea, Caridea and Stenopodidea (of the suborder Pleocyemata).

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